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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tsutomu Okada
Serial No.: 10/803,672
Filed: March 18, 2004
For: CLIP MANIPULATING DEVICE

Examiner: Unassigned
Art Unit: 3731
Docket: 17548
Dated: September 28, 2005

Conf. No.: 5931

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

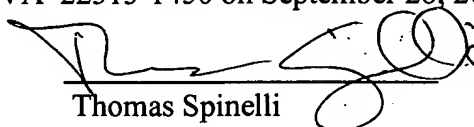
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International PCT Patent Publication No. WO 01/49186 A2, dated July 12, 2001;
2. United States Patent No. 5,868,663, issued to Katsurada et al., dated February 9, 1999;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 28, 2005.

Dated: September 28, 2005


Thomas Spinelli

3. United States Patent Application Publication No. 2002/0045909 A1, to Kimura et al., dated April 18, 2002; and

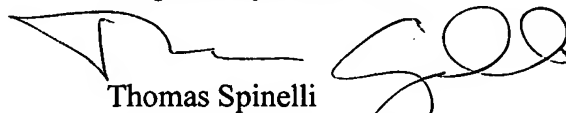
4. United States Patent No. 5,766,189, issued to Matsuno, dated June 16, 1998.

The references were cited in a Search Report dated September 1, 2005 received from the European Patent Office. Applicant is submitting copies of reference number 1, together with a copy of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicant is not required to submit copies of the above-cited U.S. Patent references. Please note the other reference cited in the Search Report, namely, International PCT Patent Publication No. WO 99/20183 A, dated April 29, 1999, was previously submitted in Applicant's Information Disclosure Statement dated March 18, 2004. The relevance of the above-identified references has been described in the Search Report.

Also enclosed herewith is a Statement Pursuant To 37 C.F.R. § 1.704(d) and a date-of-receipt stamped letter to establish the date on which the European Search Report was received.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

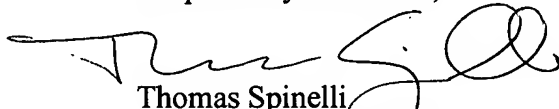

Thomas Spinelli
Registration No. 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343

TS:jam

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,



Thomas Spinelli
Registration No. 39,533

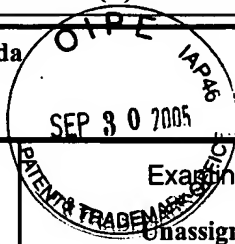
Scully, Scott, Murphy & Presser
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343

TS/jam

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17548

In Re Application Of: Tsutomu Okada



Application No.

10/803,672

Filing Date

March 18, 2004

Examiner

Unassigned

Customer No.

23389

Group Art Unit

3731

Confirmation No.

5931

Title: CLIP MANIPULATING DEVICE

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17548

In Re Application of: Tsutomu Okada

SEP 30 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/803,672	March 18, 2004	Unassigned	23389	3731	5931

Title: CLIP MANIPULATING DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
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Dated: September 28, 2005

Signature

Thomas Spinelli
Reg. No. 39,533
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza, Ste. 300
Garden City, NY 11530
(516) 742-4343
TS:jam

CC:

 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Docket Number (Optional) 17548	Application Number 10/803,672
	Applicant(s) Tsutomu Okada	
	Filing Date March 18, 2004	Group Art Unit 3731

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,868,663	2/9/1999	Katsurada et al.			
		2002/0045909 A1	4/18/2002	Kimura et al.			
		5,766,189	6/16/1998	Matsuno			

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 01/49186 A2	7/12/2001	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.